# Comments on Oregon Health Authority's Health Evidence Review Commission's Draft Coverage Guidance Corticosteroid Injections – Low Back Pain

December 8, 2016

Representatives of the 11 undersigned medical specialty societies, comprising physicians who utilize and/or perform spinal injection procedures to accurately diagnose and treat patients suffering from spine pathologies, would like to take this opportunity to comment on Health Evidence Review Commission's (HERC) draft coverage guidance *Corticosteroid Injections – Low Back Pain*.

We are disappointed to see that the report is almost entirely based on a flawed systematic review. (1) As discussed in letters submitted to Oregon Health Authority/HERC in January and May of 2016, this review arrived at erroneous conclusions due to a significantly flawed methodology, which included studies with poor patient selection criteria (e.g. nonspecific diagnoses, varying symptom duration, psychosocial comorbidities); technical limitations (e.g. non-standardized procedures); and lack of categorical outcomes data. We extend an offer to HERC, as we have several times this year, to provide clinical expertise in reviewing the evidence. A 1,000-word restriction precludes a comprehensive assessment; however we encourage HERC to review a critique of the Agency for Healthcare Research and Quality's (AHRQ) review published in a peer-reviewed journal. (2) It is important that HERC carefully consider the AHRQ report's flaws. A coverage guidance based upon a biased assessment of the evidence does a disservice to all stakeholders. This will result in egregious denial of access to procedures that truly can help patients. In the absence of access to interventional pain procedures, patient outcomes will include: unnecessary suffering, additional drug dependency, unnecessary surgeries, increased utilization of more expensive therapies, and additional work disability. The aforementioned will result in the delivery of lower quality medical care and contribute to greater consumption of healthcare resources.

#### **Effectiveness of Corticosteroid Injections**

The AHRQ report, and by extension the HERC's coverage guidance, has arrived at erroneous conclusions. They relied on flawed randomized controlled trials (RCT), and failed to acknowledge the importance of high quality observational studies that include subgroup analyses assessing effectiveness of corticosteroid injections by specific diagnosis, use of image guidance, and technical approach. An observational trial with appropriately selected patients and treatment indications, accurate contemporary treatment techniques, and appropriate categorical outcomes measured at rational time increments is far more relevant than an RCT with improper patient and treatment indications, antiquated or poor treatment technique, and weaker outcome measures. The effectiveness of transforaminal injections of steroid, in particular, has been confirmed in several RCTs and high quality observational studies. (3-9)

### Specific Diagnosis

There is no physiologic process beyond systemic effect by which steroids delivered to the epidural space would be expected to relieve axial back pain arising from nociception in the

intervertebral discs, facet joints, sacroiliac joints, or supporting musculature. There is, however, ample evidence that radicular pain has an inflammatory basis, potentially susceptible to targeted delivery of anti-inflammatory agents to the interface of neural tissue and the compressive lesion. (10) The identification of underlying pain etiologies is essential; different pathologies have varying responses to treatment and different natural histories which impact prognosis. The time frame of follow-up to determine clinical utility becomes imperative.

#### Image Guidance

Data show that "epidural" injections performed without image guidance may not universally reach the epidural space, even in expert hands. (11-13) Off-target medication delivery may not be efficacious and may be dangerous.

# Approach/Access/Accuracy

Midline interlaminar ESIs and caudal injections may deliver medication distant from the site of pathology, without certainty that the steroid will reach, or in what concentration it will reach, the ventral epidural space. In contrast, transforaminal ESIs place the needle in direct proximity to the target nerve and verify delivery to that site by observing contrast media flow. (14) Recently described lateral parasagittal interlaminar ESIs have also been shown to preferentially deliver injectate to the target ventral epidural space. (15) It is not reasonable to combine these different injection techniques in an evaluation of "epidural steroid injections".

# **General Public Health Concerns, Competing Therapies**

Some patients have no treatment options apart from spinal injections. Implicit in the discussion of spinal injections is that conservative care (e.g. lifestyle changes, physical therapy, medications) has failed. Surgery can be contraindicated due to comorbidites or age, and entails very real risks of immediate or delayed surgical failure, technical failure, serious infections, permanent paralysis, re-herniations, and subsequent segmental instability requiring fusion.

Opioid and non-opioid analgesics have limited utility with high numbers needed to treat (NNT) ranging from 4.5 to 16 (16) and significant potential for harm including death, exceeding 16,500 for NSAIDS (17) and 18,663 from prescription opiates (18). It has been estimated that at least 103,000 patients are hospitalized annually in the United States for serious gastrointestinal complications due to NSAID use. At an estimated cost of \$15,000-\$20,000 per hospitalization, annual direct costs of such complications exceed \$2 billion. (17) By contrast, NNT for transforaminal epidural steroid injections to avoid surgery is 3, and to achieve 50% pain relief is 4. (3,4) In a meta-analysis of 26 trials, 33-50% of patients considering surgery who undergo ESI can avoid surgery. (19) Interventional procedures offer a safe alternative to opiates and an effective tool in tapering patients off of opiates. Evidence to support other "treatment options" available to patients (e.g. acupuncture, cognitive behavioral therapy, yoga) is inconsistent, weak, or non-existent. (20)

# **Summary**

Oregon Health Authority has effectively left Oregon Health Plan patients (low-income and disabled individuals), without hope for a future without debilitating pain. Elimination of coverage contradicts coverage policies implemented by all major health plans and Medicare.

Spinal injections are not the panacea for all spinal conditions. There are conditions best treated conservatively and others best treated surgically. Spinal injections provide a valuable alternative option for some people. Unlike some medical treatments that "cure" a problem, many spinal conditions cannot be cured. Repetitive, palliative treatments may be the only option. The risk-benefit ratio of intermittent spinal injections can be preferable to perpetual use of risk-laden medications, or simply living with pain and disability.

Thank you for considering our comments regarding the safety and effectiveness of corticosteroid injections -- effective tools in the treatment of appropriately selected patients. Please contact Belinda Duszynski, Spine Intervention Society staff (<a href="mailto:bduszynski@spinalinjection.org">bduszynski@spinalinjection.org</a>), with questions.

Word Count: 1000

Sincerely,

American Academy of Pain Medicine

American Academy of Physical Medicine and Rehabilitation

American College of Radiology

American Society of Anesthesiologists

American Society of Neuroradiology

American Society of Regional Anesthesia and Pain Medicine

American Society of Spine Radiology
North American Neuromodulation Society
North American Spine Society
Society of Interventional Radiology
Spine Intervention Society

Comments Submitted to Oregon Health Authority on behalf of the aforementioned societies by:

Belinda Duszynski Senior Director of Policy and Practice Spine Intervention Society 120 E. Ogden Avenue, Suite 202 Hinsdale, IL 60521

Phone: 630.203.2252

Email: bduszynski@spinalinjection.org

#### **References:**

- 1. Chou R, Hashimoto R, Friedly J, Fu Rochelle, Dana T, Sullivan S, Bougatsos C, Jarvik J. Pain management injection therapies for low back pain. Technology Assessment Report ESIB0813. (Prepared by the Pacific Northwest Evidence-based Practice Center under Contract No. HHSA 290-2012-00014-I.) Rockville, MD: Agency for Healthcare Research and Quality; March 2015.
- 2. Multisociety Letter to the Agency for Healthcare Research and Quality: Serious Methodological Flaws Plague Technology Assessment on Pain Management Injection Therapies for Low Back Pain. Pain Med 2016;17(1):10-15.
- 3. MacVicar J, King W, Landers MH, Bogduk N. The effectiveness of lumbar transforaminal injection of steroids: A comprehensive review with systematic analysis of the published data. Pain Med 2013;14(1):14–28.
- 4. Ghahreman A, Ferch R, Bogduk N. The efficacy of transforaminal injection of steroids for the treatment of lumbar radicular pain. Pain Med. 2010 Aug;11(8):1149-68.
- 5. El-Yahchouchi CA, Geske JR, Carter RE, et al. The noninferiority of the nonparticulate steroid dexamethasone vs the particulate steroids betamethasone and triamcinolone in lumbar transforaminal epidural steroid injections. Pain Med 2013;14(11):1650–7.
- 6. Kennedy DJ, Plastaras C, Casey E, Visco CJ, Rittenberg JD, Conrad B, Sigler J, Dreyfuss P. Comparative effectiveness of lumbar transforaminal epidural steroid injections with particulate versus nonparticulate corticosteroids for lumbar radicular pain due to intervertebral disc herniation: a prospective, randomized, double-blind trial. Pain Med. 2014 Apr;15(4):548-55.
- 7. Kaufmann T, Geske J, Murthy N, et al. Clinical effectiveness of single lumbar transforaminal epidural steroid injections. Pain Med 2013;14(8):1126–33.
- 8. Murthy NS, Geske JR, Shelerud RA, Wald JT, Diehn FE, Thielen KR, Kaufmann TJ, Morris JM, Lehman VT, Amrami KK, Carter RE, Maus TP. The effectiveness of repeat lumbar transforaminal epidural steroid injections. Pain Med. 2014 Oct;15(10):1686-94.
- 9. El-Yahchouchi C, Wald J, Brault J, Geske J, Hagen C, Murthy N, Kaufmann T, Thielen K, Morris J, Diehn F, Amrami K, Carter R, Shelerud R, Maus T. Lumbar transforaminal epidural steroid injections: does immediate post-procedure pain response predict longer term effectiveness? Pain Med. 2014 Jun;15(6):921-8.
- 10. Mulleman D, Mammou S, Griffoul I, Watier H, Goupille, P. Pathophysiology of disk-related sciatica. I. Evidence supporting a chemical component. Joint Bone Spine 2006;73(2):151–8.
- 11. Sharrock NE. Recordings of, and an anatomical explanation for, false positive loss of resistance during lumbar extradural analgesia. Br J Anaesth. 1979 Mar;51(3):253-8.
- 12. Bartynski WS, Grahovac SZ, Rothfus WE. Incorrect needle position during lumbar epidural steroid administration: inaccuracy of loss of air pressure resistance and requirement of fluoroscopy and epidurography during needle insertion. AJNR Am J Neuroradiol 2005; 26 (3): 502-5.
- 13. White AH, Derby R, Wynne G. Epidural injections for the diagnosis and treatment of low-back pain. Spine (Phila Pa 1976) 1980 Jan-Feb; 5 (1): 78-86.
- 14. Ackerman WE, 3rd, Ahmad M. The efficacy of lumbar epidural steroid injections in patients with lumbar disc herniations. Anesth Analg. 2007 May;104(5):1217-22

- 15. Ghai B, Vadaje KS, Wig J, Dhillon MS. Lateral parasagittal versus midline interlaminar lumbar epidural steroid injection for management of low back pain with lumbosacral radicular pain: a double-blind, randomized study. Anesth Analg 2013; 117 (1): 219-27.
- 16. Finnerup NB, et al. Pharmacotherapy for Neuropathic Pain in Adults: A Systematic Review and Meta-analysis. Lancet Neurol. 2015; 14:2:162-73
- 17. Wolfe MM, Lichtenstein DR, Singh G. Gastrointestinal toxicity of nonsteroidal antiinflammatory drugs. N Engl J Med. 1999 Jun 17;340(24):1888-99.
- 18. MMWR. Vol 65: March 15, 2016 p.1-49.
- 19. Bicket MV et al. Epidural injections in prevention of surgery for spinal pain: systematic review and meta-analysis of randomized controlled trials. Spine J 2015;15:348-62.
- 20. Chou R, Deyo R, Friedly J, Skelly A, Hashimoto R, Weimer M, Fu R, Dana T, Kraegel P, Griffin J, Grusing S, Brodt E. Noninvasive Treatments for Low Back Pain. Comparative Effectiveness Review No. 169. (Prepared by the Pacific Northwest Evidence-based Practice Center under Contract No. 290-2012-00014-I.) AHRQ Publication No. 16-EHC004-EF. Rockville, MD: Agency for Healthcare Research and Quality; February 2016.

Additional references and evidence reviews available upon request.